

Seetor *DS*

**PATENT**  
Attorney Docket No. 189405

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Hagenbuch et al.

Serial No. 09/333,379

Filed: June 15, 1999



Group Art Unit: 3612

Examiner:

For: **PROCESS FOR THREE-DIMENSIONAL MODELING AND  
DESIGN OF OFF-HIGHWAY DUMP BODIES**

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Applicant hereby responds to the Notice To File Missing Parts of Application dated July 23, 1999.

The items checked below are appropriate:

**1. Status of Applicant**

This application is on behalf of ☐ other than a small entity or ☒ a small entity.

The verified statement ☒ is attached or ☐ was filed on

**2. Fees**

Pursuant to 37 C.F.R. § 1.17(e), the surcharge for filing this Response is for ☐ other than a small entity or ☒ a small entity.

**Fee Due** \$65.00

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**CERTIFICATE OF MAILING**

I hereby certify that this document (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: July 30, 1999

*Man D. Sandstrom*

In re Appln. of Hagenbuch et al.  
Serial No. 09/333,379

**3. Documents Submitted Herewith**

- ☒ Executed Declaration of inventor(s).
- ☒ Verified Statement claiming small entity status.
- ☐ Sequence Listing (and accompanying Preliminary Amendment, Verification, and diskette).
- ☐ Verified English translation of application.
- ☒ Copy of the Notice to File Missing Parts of Application.
- ☐ Other:

**4. Extension Of Term**

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

- ☐ Applicant petitions for a one-month extension of time under 37 C.F.R. § 1.136, the fee for which is \$110.00.
- ☒ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

**Extension fee due with this request: \$0**

**5. Total Fee Due**

The total fee due is:

Surcharge	\$65.00
Extension Fee (if any)	\$0.00

**Total Fee Due: \$65.00**

**6. Fee Payment**

- ☒ Attached is a check in the sum of \$65.00.
- ☐ Charge Account No. 12-1216 the sum of \$ . A duplicate of this transmittal is attached.

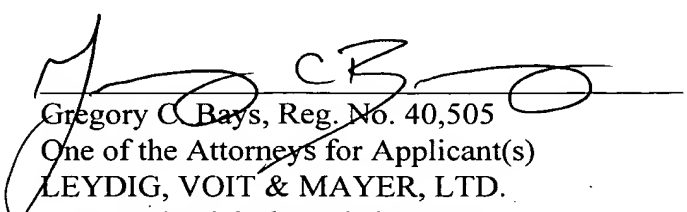
In re Appln. of Hagenbuch et al.  
Serial No. 09/333,379

**7. Fee Deficiency**



If any additional fee is required in connection with this communication, charge Account No. 12-1216. A duplicate of this transmittal is attached.

Date: July 30, 1999



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## UNITED STATES DEPARTMENT OF COMMERCE

## Patent and Trademark Office

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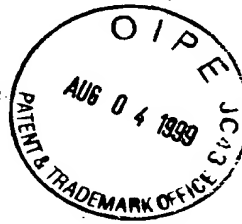
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/333,379 06/15/99 HAGENBUCH

L 189405

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TWO PRUDENTIAL PLAZA  
180 NORTH STETSON SUITE 4900  
CHICAGO IL 60601-6780

0242/0723



NOT ASSIGNED

3612

DATE MAILED:

07/23/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$130.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

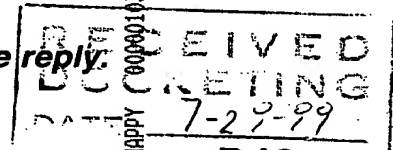
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202



RJS

9-23-99